

PATENT
Our Docket: P-IX 3458

Sector



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:
Huse et al.

Group Art Unit: 1643

Serial No.: 09/434,870

Filed: November 4, 1999

For: METHODS OF OPTIMIZING
ANTIBODY VARIABLE REGION
BINDING AFFINITY

) Examiner: Not yet assigned

)
I hereby certify that this correspondence is
being deposited with the United States
Postal Service as first class mail in an
envelope addressed to: Assistant
Commissioner for Patents, Washington,
D.C. 20231, on February 7, 2000.

By 
David A. Gay, Reg. No. 39,200
February 7, 2000
Date of Signature

ASST. COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231
Attention: BOX MISSING PARTS

MISSING PARTS TRANSMITTAL

Dear Sir:

In response to the Notice to File Missing Parts mailed
December 7, 1999, enclosed are:

- 1. A copy of the Notice to File Missing Parts.
- 2. An executed Declaration for Patent Application in the above-identified U.S. Patent Application.
- 3. A Recordation Form Cover Sheet and an executed Assignment for the above-identified U.S. Patent Application.
- 4. An executed Verified Statement (Declaration) Claiming Small Entity Status.
- 5. An executed Power of Attorney for Patent Application by Assignee.
- 6. An executed Statement Under CFR 3.73(b).

The filing fee has been calculated as shown below:

	NUMBER FILED		NUMBER EXTRA	RATE		FEE	
				SMALL ENTITY	OTHER ENTITY	SMALL ENTITY	OTHER ENTITY
TOTAL CLAIMS	41-20	=	21	x \$9.00	\$18.00	= \$189.00	\$0.00
INDEPENDENT CLAIMS	4-3	=	1	x \$39.00	\$78.00	= \$39.00	\$0.00
MULTIPLE DEPENDENT CLAIMS PRESENTED: <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO				\$130	\$260	= \$0.00	\$0.00
				BASIC FEE		\$380.00	\$690.00
				TOTAL FEE		\$608.00	\$0.00

- 7. A check in the amount of \$713.00 to cover the filing fee of \$608.00, the surcharge fee of \$65.00, and \$40.00 for recording an Assignment for the application is enclosed.

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- The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 03-0370. A duplicate copy of this sheet is enclosed.
- Any additional filing fees required under 37 C.F.R. 1.16.
 Any patent application processing fees under 37 C.F.R. 1.17.
- The Commissioner is hereby authorized to charge to Deposit Account No. 03-0370 any fees under 37 CFR 1.17 which may be required under 37 CFR 1.136(a)(3) for an extension of time in any concurrent or future reply requiring a petition for extension of time. A duplicate copy of this sheet is enclosed.

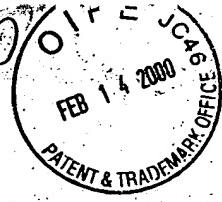
Respectfully submitted

By: 
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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO/TITLE
09/434,870	11/04/99	HUSE	W.P. IX-3458

09/434,870 11/04/99 HUSE
CAMPBELL & FLORES LLP
4370 LA JOLLA VILLAGE DRIVE
SUITE 700
SAN DIEGO CA 92122

NOT ASSIGNED

0242/1207

Response due 2-2-00 DATE MAILED: 1643

12/07/99

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

small entity (statement filed) non-small entity is \$ 376

1. The statutory basic filing fee is:

missing.

insufficient.

Applicant must submit \$ 76 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

2. The following additional claims fees are due:

\$ 378 for 21 total claims over 20.

\$ 78 for 1 independent claims over 3.

\$ — for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

3. The oath or declaration:

is missing or unsigned.

does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date, is required.

4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

0888

6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

0888

7. Your filing receipt was mailed in error because your check was returned without payment.

1655

8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

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9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

M. Clegg
Customer Service Center
Initial Patent Examination Division (703) 308-1202

02/17/2000 PRC/MT 01 FC 201 01 FC 202 01 FC 203 01 FC 204